

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                      |                        |   |
|----------------------|------------------------|---|
| In re application of |                        | ) |
|                      |                        | ) |
|                      | Keith Ballinger et al  | ) |
|                      |                        | ) |
| Serial No.:          | Not Yet Assigned       | ) |
|                      |                        | ) |
| Filed:               | August 20, 2003        | ) |
|                      |                        | ) |
| For:                 | CUSTOM SECURITY TOKENS | ) |

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

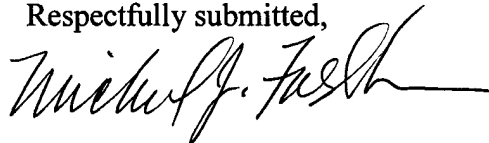
the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED August 20, 2003.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael J. Frodsham", with a long horizontal flourish extending to the right.

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

13768.454

Application Number

Not Yet Assigned

Applicant(s)

Keith Ballinger et al.

Filing Date

August 20, 2003

Group Art Unit

Not Yet Assigned

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
|                      |     |                 |      |      |       |          |                               |
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## FOREIGN PATENT DOCUMENTS

|  | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
|  |     |                 |      |         |       |          | YES         | NO |
|  |     |                 |      |         |       |          |             |    |
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |   |  |
|--|---|--|
|  | 1 | Towards the Integration of Web Services Security on Enterprise Environments<br>Yuichi Nakamura, Satoshi Hada & Ryo Neyama<br>IBM Research, Tokyo Research Laboratory<br>2002 IEEE<br>page 166 to 175 |
|  |   |  |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.